

Attorney Docket # 3245-704PUS

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of

Reimar FINCK et al.

Serial No.: 09/423,911

Filed: November 16, 1999

For: Method and Installation for Producing Hot  
Rolled Aluminium Tape Intended for Can  
Making

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER  
35 U.S.C. 371 IN THE U.S. DESIGNATED/ELECTED OFFICE (DO/EO/US)**

**BOX PCT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

S I R:

In response to the Notification of Missing Requirements dated January 6, 2000,

applicants submits herewith the following:

03/06/2000 **UNLKER** 00000101 09423911

01 FC:134

In order to complete the filing of missing parts for the above-identified application,

applicants submit herewith the following:

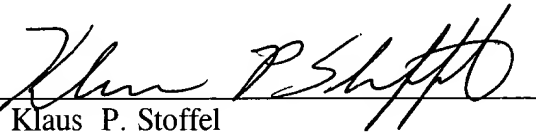
- ☒ Executed Declaration and Power of Attorney  
(attached to a copy of the application as filed).

- ☒ Check in the amount of \$130.00 to cover the late filing of the declaration
- ☒ Assignment of the invention to **Mannesmann AG, VAW aluminium AG, , , ,**
- ☒ Recordation cover sheet - PTO Form 1595
- ☒ Check in the amount of \$40.00 to cover the recordation fee
- ☐ Verified translation of the application into English  
(a processing fee is required if filed later than 20 or 30 months from the priority date).
- ☐ Check in the amount of \$130.00 to cover the processing fee for providing  
the translation of the application.
- ☐ Revised Drawing as requested.
- ☐
- ☒ Copy of form PCT/DOEO/905
- ☐ According to our records, we have not yet received form PCT/DOE0905.

If there are any additional fees that may be required at this time, the same may also  
be charged to Deposit Account No. 03-2412.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

By:



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Dated: February 28, 2000



UNITED STATES DEPARTMENT OF COMMERCE  
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#3

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/423911	FINCK	R
INTERNATIONAL APPLICATION NO.		3245-704PUS
PCT/DE98/01162		
I.A. FILING DATE	PRIORITY DATE	
22 APR 98	16 MAY 97	
DATE MAILED	06 JAN 2000	

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6 Feb 00

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),  
☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☒ a non-English language.

☐ English.

☒ Translation of the international application into English.

☒ Oath or Declaration of inventors(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☒ Preliminary amendment(s) filed 16 NOV 99 and                     .

☐ Information Disclosure Statement(s) filed                      and                     .

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed                     .

☐ Verified Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$                      as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice *MUST* be returned with this response.**

Enclosed:

☒ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Charitta A. Burt, Paralegal

Telephone: 703-305-3734

By Express Mail  
No. E15138598750US